Page	1	of	3
ago		_ ^ı _	

(Rev. 2-3	2) 1449 2)	U.S. Department of Patent and Tradem			Atty. D LAY-0	ocket No. 14	Serial No 10/009,03			
	Info	rmation Disclosure		plicant	Applicant: Sanberg et al. Filed: October 29, 2001 Group: Unknown 1649					
		(Use several she	ets if necessary)							
			U	.S. Patent Docume	nts	,,,, -				
Init.		Document No.	Date	Name		Class	Subclass	Filing Date		
KAB	1	5,411,883	05/02/1995	Boss et al.		435	240.2	08/12/1992		
	2	5,645,183	07/08/1997	Slat et al.		215	12.2	11/02/1995		
	3	5,753,491	05/19/1998	Major et al.		435	240.2	06/06/1995		
	4	5,753,505	05/19/1998	Luskin		435	375	07/06/1995		
	5	5,766,948	06/16/1998	Gage et al.		435	368	11/03/1993		
	6	5,827,736	10/27/1998	Heller et al.		435	346	01/09/1997		
	7	5,830,460	11/03/1998	Sanberg et al.		424	93.7	03/13/1995		
1	8	5,849,988	12/15/1998	Trojanowski et al.		800	2	06/17/1994		
KAB	9	5,851,832	12/22/1998	Weiss et al.	,	435	368	06/07/1995		
	 									
	 									
	 									
						 				
	 									
						 		·		
	 									
	-									
		 								
	 -									
	 -	 			_ 	+				
	 					-				
	-	 				-				
		 								
		 						 		
	-					 				
	+	 						 		
Evanier			0 11 1			Date Con	sidered .			
Examine	de	Limboules 1	Sallard			Date Con	sidered 12/1	3/05		

Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.

Serial No. Atty. Docket No. U.S. Department of Commerce 10/009,036 **LAY-014** Patent and Trademark Office Information Disclosure Statement by Applicant Applicant: Sanberg et al. Group: Unknown 1649 (Use several sheets if necessary) Filed: October 29, 2001 Other Documents (Including Author, Title, Date, Pertinent Pages, etc.) F. Mahoney, MD et al., "Functional Evaluation: The Barthel Index", Maryland State Medical Journal, pp. 61-KAB 65, February 1965. P. Andrews et al. "Pluripotent Embryonal Carcinoma Clones Derived from the Human Teratocarcinoma Cell Line Tera-2: Differentiation in Vivo and in Vitro", Laboratory Investigation, Vol. 50, No. 2, pp. 147162,1984 (no month). P. Andrews, "Retinoic Acid Induces Neuronal Differentiation of a Cloned Human embryonal Carcinoma Cell Line in Vitro", Developmental Biology, Vol. 103, pp.285-293, 1984 (no month)l. T. Brott, MD et al., "Measurements of Acute Cerebral Infarction: A Clinical Examination Scale", Stroke, Vol. 20, No. 7, pp. 864-870, July 1989. G. Ronnett et al., "Human Cortical Neuronal Cell Line: Establishment from a patient with Unilateral 14 Megalencephaly", Science, Vol. 248, pp. 603-605, May 4, 1990. J Ware, Jr. et al., "The MOS 36-Item Short-Form Health Survey (SF-36)", Medical Care, Vol. 30, No. 6, pp. 473-483, June 1993. S. J. Pleasure et al., "Ntera 2 Cells: A Human Cell Line Which displays Charteristics Expected of a Human Committed Neuronal Progenitor Cell", Journal of Neuroscience Research, Vol. 35, pp. 585-602, 1993 (no month) M. Poltorak et al., "Human Cortical Neuronal Cell Line (HCN-1) FURTHER In Vitro Characteerization and Suitability For Brain Transplantation", Cell Transplantion, Vol. 1, pp. 3-15, 1992 (no month). J. Trojanowski et al., "Neurons Derived from a Human Teratocarcinoma Cell Line establish Molecular and Structural Polarity Following Transplantation into the Rodent Brain", Experimental Neurology, Vol. 122, pp. 283-294, 1993 (no month). L. Hantson, MSc et al., "The European Stroke Scale", Stroke, Vol. 25, No. 11, pp. 2215-2219, November 1994. G. Ronnett et al., "Human Cerebral Cortical Cell Lines From Patients With Unilateral Megalencephaly And 20 Rasmussen's Encephalitis", Neuroscience, Vol. 63, No. 4. pp 1081-1099, 1994 (no month). S. Kleppner et al., "Transplanted Human Neurons Derived From a Teratocarcinoma Cell Line (NTera-2) 21 Mature, Integrate, and Survive for Over 1 Year in the Nude Mouse Brain", J. Comp. Neurol., Vol. 357, pp. 618-632, 1995 (no month). O. Lazarov-Spiegler et al., "Transplantation of activated macrophages overcomes central nevous system regrowth failure", The FASEB Journal, Vol. 10, pp. 1296-1302, September 1996. M. Miyanono et al., "Long-Term Integration and Neuronal differentiation of Human embryonal Carcinoma Cells (Nteera-2) Transplanted Into the Caudoputamen of Nude Mice", Journal of Comparative Neurology, Vol. 876, pp. 603-613, 1996 (no month). D. Bonn, "First cell transplant aimed to reverse stroke damage", The Lancet, Vol. 352, p. 119, July 11, 1998. 24 C. V. Borlongan et al., "Viability and Survival of hNT neurons Determine Degree of Functional Recovery in 25 grafted Ischemic Rats", NeuroReport, Vol. 9, No. 12, pp. 2837-2842, August 24, 1998. J. Flax et al., "Engraftable human neural stem cells respond to developmental cues, replace neurons, and KAB express foreign genes", Nature Biotechnology, Vol. 16, pp. 1033-1039, November 1998. Examiner Date Considered Stimberly Ballard 12/13/05

Examiner: Initial if citation donsidered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.

				Page of
E289-18	144		Atty. Docket No.	Serial No.
(Rev. 2-3	2)	U.S. Department of Commerce Patent and Trademark Office	LAY-014	10/009,0
	Info	ormation Disclosure Statement by Applicant	Applicant: Sandb	<u></u>
<u> </u>		(Use several sheets if necessary)	Filed: Group: 16	
<u>. </u>		Other Documents (Including Author, T		etc.)
KAB	27	M. Simm, "Nachschub für die grauen Zellen", [Interder Letter www.BerlinOnline.de/.bin/print.php/w 2/index.html?keywords=Nachschub%20f%FCr%die author=&resort=Wissenschaft&von=1.2.199&bis=1 20fur%20f%FCr. 3 pages, March 3, 1999 and Englische wie die grauen Zellen", [Interder Letter www.BerlinOnline.de/.bin/print.php/w 2/index.html?keywords=Nachschub%20f%FCr. 20fur%20f%FCr. 3 pages, March 3, 1999 and Englische wie die grauen Zellen", [Interder www.BerlinOnline.de/.bin/print.php/w 2/index.html?keywords=Nachschub%20f%FCr%die author=&resort=Wissenschaft&von=1.2.199&bis=1 20fur%20f%FCr. 3 pages, March 3, 1999 and Englische wie die grauen Zellen", [Interder www.BerlinOnline.de/.bin/print.php/w 2/index.html?keywords=Nachschub%20f%FCr%die author=&resort=Wissenschaft&von=1.2.199&bis=1 20fur%20f%FCr. 3 pages, March 3, 1999 and Englische wie die grauen Zellen", [Interder wie	issen/Berliner_zeitung/archive%20grauen%20Zellen%20& 5.1999&mark=zellen%20na	ok=OK%21&match
KAB	28	LAYTONBIO: "Press releases number: 24, 26, 27, 2	28, 29, 30,31(j) and (k)", [Interest of the control	
		www.laytonbio.com/whatsnew.htm. pp. 1-11, March	n 9, 1998 – February 5, 1999.	
	-			
			····	
·				•
	 			
<u>-</u> .	-	<u></u>		
 , ,				<u> </u>
···				
				<u> </u>
				
	-	·····		· · · · · · · · · · · · · · · · · · ·
		· · · · · · · · · · · · · · · · · · ·	·	·
				
			•	· · · · · · · · · · · · · · · · · · ·
<u> </u>				
				· · · · · · · · · · · · · · · · · · ·
				

APR	1	4	2003	1
A. 10	4	7		

Page ____1__ of __1

Form Pto 1449 Appl 2-32) U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant				LAY-014 10/00			al No. 09,036	
				ppiicant	Applicant: Sanberg et al			
	(Use several sheets if necessary)				Filed:	September 30, 2	2002 Group:	+623 1649
			U	J.S. Patent Docum	ents			
Init.		Document No.	Date	Name		Class	Subclass	Filing Date
KAB	1	5,654,183	08/05/1997	Anderson et al.		435	172.3	01/28/1994
							40	
							1/PA	5, 0
							The state of the s	. 103

				·				
	-						<u> </u>	
	-							
					····		 	
	 					-		
······	 	,			·			
	-						 	
· .				 			 	
	 			<u> </u>				
Examiner						Data Canaid		
	90	unterly Bal	land			Date Conside	red 12/13	3/05